

Best Available Copy 10/648371

PAGE 1/25 - RCV'D AT 11/06/2006 11:45:40 AM (Eastern Standard Time) - SVR:USPTO-EPXRF-5/21 - DNS:2738300 - CSID:613 952 6082 - DURATION (mm-ss):15-58



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DATE: November 6, 2006

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DATE: November 6, 2006

Our File No. 10975-1C

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Canada

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Applicant(s): Henryk Borowy-Borowski et al
Serial No: 10/648,371
Filed: 27 August 2003
Title: Water-Soluble Compositions of Bioactive Lipophilic
Compounds
Docket No: 10975-1C
Examiner: Sharmila Gollamudi
Group: 1616
Confirmation No: 3708

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OFFICE OF PETITIONS

November 6, 2006

To: The Commissioner of Patents
Office of Petitions
P. O. Box 1450
Alexandria, VA 22313-1450, U.S.A.

Sir:

We wish to advise that we never received the Notice of Allowance, mailing date of 6 October 2005. We assume that the application stands abandoned as of 6 January 2006.

We have thoroughly checked our files and our mail log records, we have no record of receiving the Notice of Allowance.

Accordingly, we request that a new Notice of Allowance be sent, including a new time period for reply.

A Petition to withdraw Holding of Abandonment based on Failure to Receive Office Action (Notice of Allowance) is attached.

In the absence of any indication in the MPEP that a Petition fee is required, no fee is submitted. If this is incorrect, and a fee is required, please deduct it from our Deposit Account 14-0429.

Respectfully submitted,

By:

J. Wayne Anderson
Regn No: 28,158

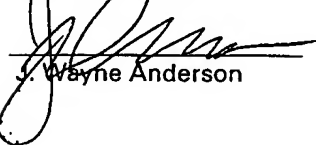
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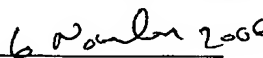
National Research Council Canada
Tel: (613)993-3899

Enclosure: copies of docket records
copy of file docket (i.e. cover page of our file)

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below.


J. Wayne Anderson


Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of)
 Applicant(s): Henryk Borowy-Borowski et al)
 Serial No: 10/648,371)
 Filing Date: 27 August 2003)
 Examiner: Sharmila S. Gollamudi)
 Art Unit: 1616)
 Title: Water-Soluble Compositions of)
 Bioactive Lipophilic Compounds)
 Confirmation No.: 3708)
 Docket No: 10975-1C)

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OFFICE OF PETITIONS

November 6, 2006

OFFICIAL

**PETITION TO WITHDRAW HOLDING OF ABANDONMENT BASED
 ON FAILURE TO RECEIVE OFFICE ACTION**

To: The Commissioner of Patents
Office of Petitions
 P.O. Box 1450
 Alexandria, VA 22313-1450

Sir:

FACTS:

The file record shows that a Notice of Allowance was mailed on 6 October 2005.

The Notice of Allowance includes our correct mailing address.

Our office does not have a history of not receiving Office actions.

The issue fee and publication fee have not been paid.

Accordingly, as of 6 January 2006, the application stands abandoned

All of our incoming mail is recorded in our mail received records.

All Official Actions and their deadlines are recorded on our file cover jacket.

The mail received records for the period of 29 September 2005 to 4 January 2006 ie. covering the three month time period starting from the mailing date of the Notice of Allowance, have been searched and no entry of the receipt of the Notice of Allowance was found.

The file cover jacket has been reviewed and there is no entry indicating logging in of a Notice of Allowance.

According to MPEP 711.03(C), Petitions relating to Abandonment [R-3], section I. A., the showing required to establish non receipt of an Office communication must include a statement from the practitioner stating that the office communication was not received by the practitioner and attesting to the fact that a search of the file jacket and (mail)docket records indicates that the office communication was not received.

STATEMENT/SHOWING

As the practitioner/Patent Agent of record in this application, I hereby state that the office communication, in this case the Notice of Allowance having a date mailed of 6 October 2005, was not received by our office, and further attest to the fact that a personal search of the file jacket and

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(mail)docket records indicates that the office communication was not received.

Copies of our file jacket and of our relevant mail docket records, where the non-received Office communication, in this case, the Notice of Allowance, would have been entered had it been received and docketed, are enclosed.

Conclusion

Accordingly, following the precedent in *Delgar v. Schulyer*, 172 USPQ 513 (D.D.C. 1971), and the apparent satisfaction of all of the requirements of the MPEP as noted above, we respectfully request that the Office issue a new Notice of Allowance, including a new time limit for reply.

Respectfully submitted,

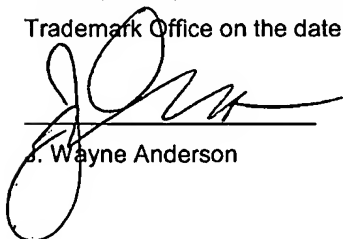
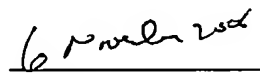


J. Wayne Anderson
Patent Agent for Applicant
Regn No: 28,158

:ch
National Research Council of Canada
Tel: 613-993-3899

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J. Wayne Anderson
6 November 2006

File

Dossier

395000

-4720-10975-1C(P)

Vol.



National Research Council
Canada

Conseil national de recherches
Canada

LOCATION
ENDROIT

RMS M-58
SGD M-58

SUBJECT — SUJET

UNITED STATES - THIRD CONTINUATION
IN PART

DELIVERY COMPOSITIONS FOR BIO-ACTIVE
LIPOPHILIC COMPOUNDS / SIKORSKA M,
BOROWY-BOROWSKI H, WALKER P R - IBS

INTELLECTUAL PROPERTY - PATENT AND
LICENSING - NRC PATENTS - PATENTS

CS/BR - INTELLECTUAL PROPERTY
SERVICES

ETATS-UNIS - TROISIEME SUITE
PARTIELLE

COMPOSITIONS DE LIBERATION DE
COMPOSES LIPOPHILES BIOACTIFS
SIKORSKA, BOROWY-BOROWSKI, WALKER P
R - ISB

PROPRIETE INTELLECTUELLE - BREVETS
ET LICENCES - BREVETS DU CNRC -
BREVETS

SI/RA - SERVICES LIES A LA PROPRIETE
INTELLECTUELLE

Opened/Ouvert :

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**Referred to
Remis à**

Remarks
Remarques

Initials
Initiales

Date _____

P.A. Date _____
Classer _____

Initials
Initiales

B.F. Date
Date de
Rappel

[illegible]

007299

07299 and Information which should be returned to Records Management Services
ASAP. NO documents are to be removed unless substituted by a completed NRC 25 form.

Ce dossier contient de l'information contrôlée et doit être retourné aux Services de gestion des documents dès que possible. Aucun document ne doit être enlevé sans avoir été remplacé par un formulaire CNRC 25 complété.

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OFFICE OF PETITIONS

| | | | | |
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| 38,541 | | 11701-99 | Freedman & Associates | Sept 29 |
| 38,538 | | 11481-98 | Borden Ladner Gervais | Sept 30 |
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| 38,541 | | 10701-99 | Freedman & Associates | Sept 29 |
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| 38,546 | | 11301-1 | Borden Ladner Gervais | Sept 30 |
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| 38,548 | Oct 7 | 11495-98 | Patent Cooperation Treaty | Sept 29 |
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| 38,551 | | 11270-14 | A. Aoki, Ishida & Associates | Sept 30 |
| 38,552 | | 11031-99 | MacKue & Co. | Oct 5 |
| 38,553 | | 11705-1 | USPTO | Sept 27 |
| 38,554 | | 11177-14 | Smart & Biggar | Oct 4 |

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Page 2/25 - RCVD AT 11/06/2006 11:45:10 AM [Eastern Standard Time] - SVR:USPTO-FAX-RF-5/21 - DNIS:2738300 - CSID:613 952 6082 - DURATION (mm:ss):15-58

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| 38,514 | " | ? | Canadian Space Agency | Sept 27 |
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| mail | Copy of revised petition |
| mail | Invention Disclosure Form |
| mail | Acknowledgement of Request for Examination |
| mail | Office Communication / Detail Memo |
| mail | Filing Receipt / Notice to file missing parts / Invoice |
| mail | Invoice / Copy of Application & Provincial Cover Sheet |
| mail | Copies of International Search Report / Invoice |
| mail | Copy of Official Action |
| mail | Copies of Response to Official Action |
| mail | Copy of Response to outstanding Office Action |
| mail | Filing Papers / IDS Form |
| mail | Filing Papers |
| mail | Copy of Amended Specification |
| Mail | Invoice |
| " | " |
| " | Copy of Correspondence |
| " | Notification Relating Declaration |
| " | Notice of Publication of Application |
| " | Original Patent |
| " | Official Action |
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| Carrier 7912 23 08 4140 | Original Assignment |
| Mail | Invoice |
| " | Invoice |
| " | Priority Document |
| " | Costs for Filing Applications |
| " | Invoice / Correspondence |
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| Carrier 0769415 | Copy of Response / Invoice |
| Mail | Notice of Publication of Application |
| " | Extension |
| " | Updated Filing Receipt |
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| " | Invoice |
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| Mail | Second and Supplement Notice |
| " | Translated Claims filed |
| " | Form PCT/IB/301 |
| " | No filing examinations |
| " | Awaiting Instructions |
| Mail | Filing Receipt |
| " | Awaiting Instructions |

| Number | Date | File | From | Date Sent |
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| 38,584 | | ? | European Patent Office | Sept 22 |
| 38,585 | | 10991-99 | Ridant & Maybe | Oct 6 |
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| 38,598 | | 11615-98 | Patent Cooperation Treaty | Oct 5 |
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| Mode | Description |
|------------------------|--|
| Mail | Reminder |
| " | Filing Receipt |
| " | Notice of Allowance |
| " | Filing Receipt |
| " | Corrected Version of Fees |
| " | Reminder |
| " | Original Patent |
| " | Office Action |
| " | International Preliminary Report |
| " | Power of Attorney |
| " | Invoice |
| " | Copies of Letters / Invoice |
| " | Transmittal Form |
| " | " |
| " | Notice of Allowance |
| Registered 92795406 | 095CA New Application |
| Mail | Invoice |
| " | Reminder |
| " | Certificate |
| " | Withdrawal Request |
| " | Request for Examination |
| " | " |
| " | Amended Claims |
| " | Filing Receipt |
| " | Power of Attorney / Invoice |
| " | Petition |
| " | Invention |
| " | Restriction Requirement |
| " | Response to Office Action |
| " | Entry into European Phase |
| " | Invoice |
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| Carrier 028573 | Specific Power of Attorney |
| Mail | Letter to CPO Re - Revocation of Appointment |
| " | Statement of Usability |
| " | Notice of Allowance |
| " | Copy of Application |
| " | Office Action |
| " | Not. Docs Transmitted |
| " | Request for Reconsideration of Petition |
| " | Office Action |
| Mail | Awaiting Instructions |
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| " | Communication |

| Number | Date | File | From | Date Sent |
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| 38,611 | | 10819-99 | Kirby Eades Dale & Baker | Oct 13 |
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| 38,624 | | 10605 | USPTO | Sept 28 |
| 38,625 | | 11085-99 | W.P. Thompson & Co. | Oct 13 |
| 38,626 | | 11266-99 | Borden Ladner Gervais | Oct 11 |
| 38,627 | | 11418 | Osler | Oct 13 |
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| 38,630 | | 11495-98 | European Patent Office | Oct 14 |
| 38,631 | | 11381-2 | Hamilton Brook Smith & Reynolds | Oct 13 |
| 38,632 | Oct 19 | 11190-2 | Ridout & Maybee LLP | Oct 14 |
| 38,633 | Oct 20 | 11415-2 | CIPD | Oct 6 |
| 38,634 | | 10875-98 | Townsend & Townsend & Crew | Oct 14 |
| 38,635 | | 11517-98 | Ogen Wigg Green & Mutala | Oct 17 |
| 38,636 | Oct 21 | 11119-1 | Stowings | Oct 19 |
| 38,637 | Oct 24 | 50606-2 | CIPD | Oct 14 |
| 38,638 | | " | " | " |
| 38,639 | | 11176-1 | Ridout & Maybee | Oct 19 |
| 38,640 | | 11542-1 | Anissimoff & Associates | Oct 18 |
| 38,641 | | 11433-1 | Dr. S. Dorman | Oct 14 |
| 38,642 | | 50608-98 | Patent Cooperation Treaty | Oct 12 |
| 38,643 | | 11176-2 | CIPD | Oct 17 |
| 38,644 | | 11684-2 | " | Oct 18 |

| Mode | Description |
|----------------|--|
| Hail | Acknowledgement of Request for Examination |
| " | Notification of Receipt of Record Copy |
| " | Official Filing Receipt |
| " | Copy of European associates Letter |
| Hail | Requesting Examination |
| " | PCT Office |
| " | Extension of time to invitation to furnish Nucleotide |
| " | Publication Copy |
| " | Office Action |
| " | Acknowledgement |
| " | Notice of Allowance |
| carrier 494046 | EPO Communication |
| Hail | Copy of Petition |
| " | Copy of Application |
| " | Original Documents |
| " | Preliminary Examining Authority |
| " | Reply to Notice to file Missing Parts / Power of Attorney / Filing Receipt |
| " | Petition / Request of Entry |
| " | Awaiting Instructions |
| " | " |
| " | Notice of Abandonment |
| " | Application |
| Hail | Copy of letter from Associates |
| " | Transmittal of Priority Document |
| " | Notice of Patent Expiration |
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| " | Appointment of legal representative |
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Page 84

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Page 25

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Page 87

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| " | Notification Concerning Representation |
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| " | Entry into National Phase |
| " | Invoice |
| " | Copy of Letter to client |
| " | Declaration of Entitlement / Invoice |
| Mail | Copy of Letter to client |
| " | Invoice |
| " | Communications |
| " | Copy of Letter to Chinese Associate |
| " | Name Change |
| " | Copy of Letter from Canadian Associate |
| " | European " |
| " | Awaiting Instructions |
| " | Copy of Application |
| " | Requesting a copy of Priority Document |
| " | Filing Certificate |
| " | Patent Certificate |
| " | Notice of Reinstatement |
| " | Registration |
| " | Executed Assignment |
| " | Filing Papers |

| Number | Date | File | From | Date Sent |
|--------|--------|----------|---------------------------------|-----------|
| 38,960 | Dec 19 | 11632-98 | Trask Britt | Dec 15 |
| 38,961 | Dec 20 | 50226-2 | CILD | Dec 14 |
| 38,962 | | 11604-1 | Borden Ladner Gervais | Dec 14 |
| 38,963 | | 11414-1 | MacLae & Co. | Dec 16 |
| 38,964 | | 11435-1 | Marks & Clerk | Dec 13 |
| 38,965 | | 11640-98 | " | Dec 12 |
| 38,966 | | 11415-1A | Trask Britt | Dec 10 |
| 38,967 | | 11252-4 | Freedman & Associates | Dec 9 |
| 38,968 | | 11256-4 | " | " |
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| 38,971 | | 11100-99 | " | Dec 15 |
| 38,972 | | 11100-99 | " | " |
| 38,973 | | 11100-99 | " | " |
| 38,974 | | 11254-99 | " | " |
| 38,975 | | 11099-99 | " | " |
| 38,976 | | 11254-99 | " | Dec 12 |
| 38,977 | Dec 21 | 50661-1 | USPTO | Dec 5 |
| 38,978 | | 11277-1 | Ira S. Dorman | Dec 15 |
| 38,979 | | 11177-14 | Smart & Biggar | Dec 19 |
| 38,980 | | 11434-1 | Ogilvy Renault | Dec 16 |
| 38,981 | | 50569-98 | Borden Ladner Gervais | Dec 12 |
| 38,982 | | 11075-1 | Nixon & Vanderhij | Dec 14 |
| 38,983 | | 11481-16 | Borden Ladner Gervais | Dec 16 |
| 38,984 | | 11572-1 | Hamilton Brook Smith & Reynolds | Dec 14 |
| 38,985 | | 11402-67 | Sim & McBurney | Dec 15 |
| 38,986 | Dec 22 | 11663-98 | Anissimoff & Associates | Dec 16 |
| 38,987 | | 11382-1K | Townsend & Townsend & Crew | Dec 19 |
| 38,988 | Dec 23 | 11427-1 | Anissimoff & Associates | Dec 19 |
| 38,989 | | 11545-1 | USPTO | Dec 8 |
| 38,990 | | 11444-98 | Hoffat & Co. | Dec 20 |
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| 38,992 | | 11495-98 | Patent Cooperation Treaty | Dec 15 |
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| 38,994 | | ? | Communication Research | Dec 23 |
| 38,995 | | 11177-2 | Smart & Biggar | Dec 20 |
| 38,996 | | 10875-99 | Townsend & Townsend & Crew | Dec 14 |
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| Mode | Description |
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| Hail | International Search Report |
| Hail | Notice of Abandonment |
| " | International Search Report |
| " | US Examiner's First Report |
| " | Invoice |
| " | Specification & Request |
| Carrier 358463054 | Non-Final Office Action |
| Hail | Notice of Acceptance |
| " | " |
| " | Decision to Grant |
| " | Power of Attorney |
| " | Swedish Translation |
| " | Letters Patent |
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| " | Decision to Grant |
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| Hail | Office Communication |
| " | Interview Summary |
| " | Reminder |
| " | Updated Filing Receipt |
| " | Invoice |
| " | Copy of Response |
| " | Power of Attorney |
| " | Transmittal of Substitute Sequence |
| " | Copy of Publication Notice |
| Hail | Official Filing Receipt |
| Carrier 2131213728 | Office Action |
| Hail | Replication of Application |
| " | Notice of New Publication Date |
| " | Copy of Request |
| " | Copy of Publication |
| " | International Preliminary Report |
| " | Letter |
| " | Assignment |
| " | Invoice / Copies of Letters to Patent Office |
| " | Acknowledge Receipt of Letter |

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|--------|--------|-------------|----------------------------|-----------|
| 39,005 | Jan 03 | 11434-1 | Ogilvy Renault | Dec 16 |
| 39,006 | | 11434-1 | Ogilvy Renault | Dec 16 |
| 39,007 | | 11594-1 | Gowlings | Dec 19 |
| 39,008 | | 11512-98 | Pct | Dec 22 |
| 39,009 | | 11583-98 | Pct | Dec 22 |
| 39,010 | | 11113-1 | ADE S Company | Dec 28 |
| 39,011 | | 50527-2 | Agence Spatiale Canadienne | Dec 22 |
| 39,012 | | 11167-99 | Ogilvy Renault | Dec 29 |
| 39,013 | | 11748-1 | USPTO | Dec 07 |
| 39,014 | | 10936-2 | OPIC | Dec 31 |
| 39,015 | | 11757-1 | Anissimoff & Ass. | Dec 28 |
| 39,016 | | ? | IRA S. Dorman | Dec 29 |
| 39,017 | | 11755-2 | IRA S. Dorman | Dec 29 |
| 39,018 | | 11756-2 | Anissimoff & Ass. | Dec 22 |
| 39,019 | | 11141-1 | | |
| 39,020 | | 11368-1 | BLG | Dec 23 |
| 39,021 | | 11477-2 | Klarquist Sparkman | Dec 19 |
| 39,022 | | 11052-2 | KEGB | Dec 22 |
| 39,023 | | 11582-1 & 2 | BLG | Dec 22 |
| 39,024 | | 11140-1 | Marks & Clerk | Dec 19 |
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| 39,026 | Jan 4 | 11735-1 | CIPD | Dec 21 |
| 39,027 | | 10922-1 | Borden Ladner Gervais | Dec 23 |
| 39,028 | | 11266-1 | " | Dec 21 |
| 39,029 | | 50565-67 | Borden Ladner Gervais | Dec 30 |
| 39,030 | | 11604-1 | " | Dec 29 |
| 39,031 | | 11469-1 | Marks & Clerk | Dec 22 |
| 39,032 | | 50610-1 | BOBIC | Dec 20 |
| 39,033 | | 11468-1 | Marks & Clerk | Dec 21 |
| 39,034 | | 11481-1 | Borden Ladner Gervais | Dec 22 |
| 39,035 | | 11481-20 | " | Dec 21 |
| 39,036 | | 11344-2 | " | Dec 22 |
| 39,037 | | 11301-99 | " | " |
| 39,038 | | 11640-98 | Marks & Clerk | Dec 28 |
| 39,039 | | 50204-2 | CIPD | Dec 20 |
| 39,040 | | 10974-1A | TraskBritt | Dec 16 |
| 39,041 | | 10974-1A | " | Dec 22 |
| 39,042 | | 11474-1 | " | " |
| 39,043 | | 11232-1 | " | Dec 16 |
| 39,044 | | 10696-1 | " | Dec 22 |
| 39,045 | | 11484-98 | Anissimoff & Associates | Dec 28 |
| 39,046 | | 11701-2 | CIPD | Dec 22 |
| 39,047 | | 11638-2 | " | " |
| 39,048 | | 11701-2 | " | " |
| 39,049 | | 50567-1 | Borden Ladner Gervais | Dec 29 |

| Mode | Description | RECEIVED CENTRAL FAX CENTER NOV 06 2006 |
|------|---|---|
| Mail | Response to notification | |
| " | Copy of petition | |
| " | Disclosure Statement | |
| " | second Notice of Communication of the international application | |
| " | First Notice - Communication of the international application | |
| " | Request for reexamination | |
| " | Request for examination | |
| " | Examination report | |
| " | Filing Receipt | |
| " | Filing Receipt | |
| " | Acknowledgement of Request for examination | |
| " | Invoice | |
| " | Invoice | |
| " | Filing Receipt decision & transmittal material | |
| " | USPTO | |
| " | Notice of Recordation | |
| " | Examiner's objection | |
| " | Notification of receipt of Search Reply | |
| " | Claim for examination | |
| " | First Notice Communication of international application | |
| Mail | Filing Notice | |
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| Mail | Official Filing Receipt | |
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| Mail | Transmittal | |
| Mail | Information Disclosure Statement | |
| Mail | Official Filing Receipt | |
| Mail | Directing Instructions | |
| Mail | Power of Attorney / Form I | |
| Mail | Registration | |
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| " | Filing Certificate | |
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